

# Pathogenesis of proximal autosomal recessive spinal muscular atrophy

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**Abstract** Although it is known that deletions or mutations of the *SMN1* gene on chromosome 5 cause decreased levels of the SMN protein in subjects with proximal autosomal recessive spinal muscular atrophy (SMA), the exact sequence of pathological events leading to selective motoneuron cell death is not fully understood yet. In this review, new findings regarding the dual cellular role of the SMN protein (translocation of  $\beta$ -actin to axonal growth cones and snRNP biogenesis/pre-mRNA splicing) were integrated with recent data obtained by detailed neuropathological examination of SMA and control subjects. A presumptive series of 10 pathogenetic events for SMA is proposed as follows: (1) deletions or mutations of the *SMN1* gene, (2) increased *SMN* mRNA decay and reduction in full-length functional SMN protein, (3) impaired motoneuron axon- and dendrogenesis, (4) failure of motoneurons to form synapses with corticospinal fibers from upper motoneurons, (5) abnormal motoneuron migration towards ventral spinal roots, (6) inappropriate persistence of motoneuron apoptosis due to impaired differentiation and motoneuron displacement, (7) substantial numbers of motoneurons continuing to migrate abnormally (“heterotopic motoneurons”) and entering into the ventral roots, (8) attracted glial cells following these heterotopic motoneurons, which form the glial bundles of ventral roots, (9) impaired axonal transport of actin, causing remaining motoneurons to become chromatolytic, and (10) eventual death of all apoptotic, heterotopic and chromatolytic neurons, with apoptosis being more rapid and predominating in the earlier stages, with

death of heterotopic and chromatolytic neurons occurring more slowly by necrosis during the later stages of SMA. According to this model, the motoneuron axonopathy is more important for pathogenesis than the ubiquitous nuclear splicing deficit. It is also supposed that individually variable levels of SMN protein, together with influences of other phenotype modifier genes and their products, cause the clinical SMA spectrum through differential degree of motoneuron functional loss.

**Keywords** Migration · Motoneurons · Pathogenesis · Spinal muscular atrophy · *SMN1* gene

## Introduction

The spinal muscular atrophies (SMAs) are a genetically heterogeneous group of inherited diseases that cause progressive muscle degeneration (Table 1) [20, 44, 55, 88]. In this review, we focus on the most common form, proximal autosomal recessive SMA, which is the second leading cause of neuromuscular disease (after muscular dystrophy) and the second most common autosomal recessive disease (after cystic fibrosis), with an incidence of at least 1 in 10,000 live births and a carrier frequency of 1 in 35 to 1 in 50 [25, 78].

Since the initial description of SMA at the end of nineteenth century by Guido Werdnig, it has been postulated that pathological changes in SMA consist of four major features (the so-called “neuropathologic tetrad”): (1) loss of anterior horn cells ( $\alpha$ -motoneurons as well as  $\gamma$ -motoneurons and interneurons), (2) empty cell beds (at locations of neuron loss), (3) glial cell bundles in the ventral spinal roots, and (4) heterotopic motoneurons (HMN) [85]. About a hundred years later, Melki and collaborators revealed that

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**Table 1** Types of spinal muscular atrophy in humans (modified from [55])

SMA type	Inheritance	Gene/location	Phenotype/symptoms	Age at onset
SMA-1	AR	<i>SMN1</i> /5q11.2–13.3	Proximal muscle weakness, never sit unaided, death usually <2 years	Usually <9 months
SMA-2	AR	<i>SMN1</i> /5q11.2–13.3	Proximal muscle weakness, sit unaided but wheelchair bound, most survive to 2nd or 3rd decade	6–18 months
SMA-3	AR	<i>SMN1</i> /5q11.2–13.3	Proximal muscle weakness, walk unaided, slow progression with normal lifespan	Usually >48 months
Distal SMA	AR	11q13	Distal muscle weakness, involvement of diaphragm	2 months–20 years
SMARD	AR	<i>IGHBP2</i> /11q13.2	Distal lower limb and diaphragmatic weakness, sensory and autonomic neurons also affected	1–6 months
X-linked infantile SMA	X-linked	Xp11.3-q11.2	Arthrogryposis, respiratory insufficiency, scoliosis, chest deformities, LMN loss	At birth
Spino-bulbar SMA (Kennedy disease)	X-linked	Androgen receptor/Xq11.2–12	Proximal muscle weakness, LMN loss, loss of neurons in dorsal root ganglia, bulbar involvement	30–50 years
Distal SMA-4	AD	7p15	Distal muscle weakness of thenar and peroneal muscles	12–36 years
Congenital SMA	AD	12q23–24	Arthrogryposis, non-progressive weakness of distal muscles of lower limbs	At birth
SMA associated with mitochondrial mutation	Mitochondrial	Cytochrome-c oxidase (COX)	Hypotonia, lactic acidosis, respiratory distress, cardiomyopathy, COX deficiency	At birth

*SMARD* SMA with respiratory distress

recessive forms of proximal SMA are caused by reduced survival of motor neuron (SMN) protein due to deletions or mutations of the *SMN1* gene on chromosome 5 [46]. However, it is still not clear why, and how, reduced levels of the SMN protein cause such neuropathological changes. The answer to this question is obviously related to our understanding of the normal function(s) of the SMN protein and particularities of ventral horn neurons development and function. Here, an overview of novel findings regarding the cellular functions of SMN protein in motoneurons are put in perspective together with a recent detailed description of the neuropathological aspects of SMA [76]. Finally, these data are used to propose a possible pathogenetic model. It is hoped that this will help elucidating the mechanisms responsible for SMA genotype-phenotype relationships and serve for future development of possible treatment and prevention strategies.

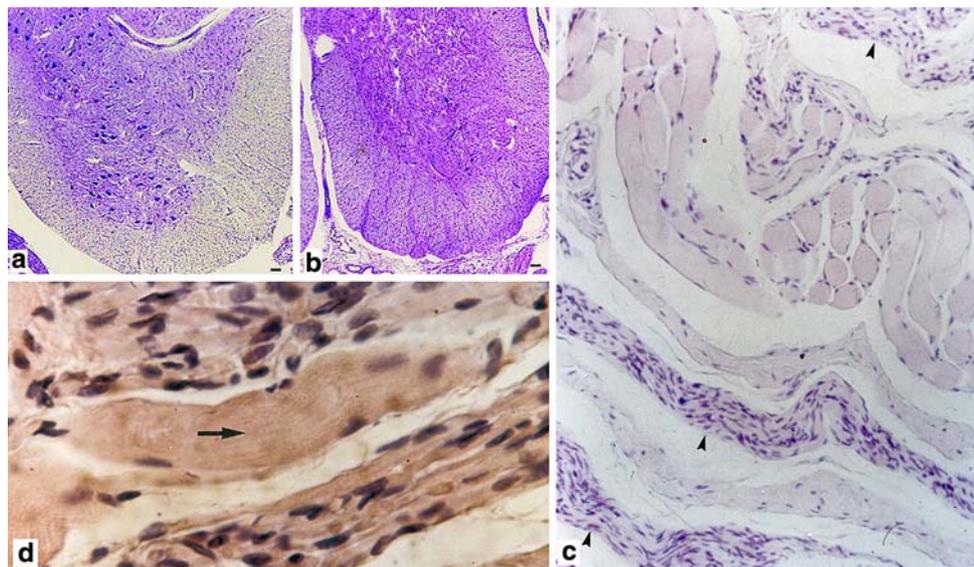
### Clinical presentation and diagnosis

Due to the symmetrical loss of spinal cord anterior horn neurons (Fig. 1a, b), SMA is characterized by progressive denervation of skeletal muscles. The weakness and atrophy are usually first noted for the proximal voluntary muscles of the extremities. During disease progression the distal voluntary muscles of the extremities and eventually entire trunk are also affected. Diagnosis is confirmed by muscle biopsy, which seems to be very useful particularly in case of chronic infantile forms (Fig. 1c, d), electromyography

(showing typical “denervation pattern”: abnormal spontaneous activity with fibrillations, positive sharp waves and increased mean duration and amplitude of motor unit action potentials), MRI of the spine and DNA genotyping.

Based on age at onset and severity, SMA is classified in several types that form the clinical spectrum [59, 93]. The clinical symptoms in all types of SMA include hypotonia, symmetrical muscle weakness and atrophy (predominantly of the proximal muscles of the shoulder and pelvic girdle), absence of deep tendon reflexes, tremor of fingers and hands, fasciculation of the tongue muscles and hyporeflexia with contractures of some muscle groups (the diaphragm and extraocular muscles remain unaffected until late stages of the disease and there is little or no impairment of sensory systems) [35]. These symptoms are thought to be due to selective loss of motoneurons in the spinal cord and cranial motor nuclei leading to progressive muscle weakness, atrophy and paralysis [32]. In its most severe type (SMA-1, also called Werdnig-Hoffmann disease or acute SMA, MIM# 253300), onset is usually before 9 months. Most of the children are completely asymptomatic at birth, with symptoms emerging at around 2–4 months after birth. Affected infants are hypotonic (“floppy”) with weak, thin muscles, and display feeding and breathing problems, fail to achieve early motor milestones and are never able to sit. Death occurs within the first 2 years of life, usually due to respiratory failure. If symptoms can be seen already at birth, some researchers tend to call this form as SMA-0 type. Infants with SMA-2 (the intermediate or chronic infantile form, MIM# 253550) have an onset around

**Fig. 1** **a** Normal control spinal cord **b** SMA spinal cord showing loss of anterior horn cells. Cresyl-violet stain. **(c)** and **(d)** Muscle biopsy of biceps brachii muscle from SMA-1 subject showing neurogenic muscle atrophy **(c, d)** Large groups of circular atrophic muscle fibers (*arrowheads*) mixed with fascicles of hypertrophied fibers (*arrow*). Haematoxylin-eosin stain. Scale bars: **(a, b)** 100 $\mu$ m, **(c)** 20 $\times$  magnification, **(d)** 100 $\times$  magnification. Photomicrographs **(a)** and **(b)** are reproduced from Simic et al. [75] with the permission of Wolters Kluwer Health (Lippincott Williams and Wilkins)



3–15 months with less severe symptoms, but they become progressively weaker with time. Since legs are usually weaker than arms, children with SMA-2 may sit but do not learn to ambulate. Survival time is longer, but many patients die generally while still in childhood. The third type (SMA-3 or Kugelberg-Welander disease, MIM# 253400) has an onset from 4 to 15 years. Disease onset before the age of 3 years is classified as type IIIa, whereas an age of onset beyond 3 years is classified as type IIIb SMA [93]. Weakness is often first noted in the proximal leg and shoulder muscles. These children are able to achieve walking and generally live into adulthood (44% of the type IIIa and 90% of type IIIb individuals are able to walk by the age of 20 years) [93]. SMA-4 is a rare adult form (MIM# 271150) with onset after 30 years of age. This milder form of the disease may be inherited in either an autosomal dominant or rarely autosomal recessive manner. Individuals with this form of the disease have a normal life expectancy and may sometimes be difficult to separate from long-duration forms of pure lower motor variant of amyotrophic lateral sclerosis [86].

### SMN genes and mutations

Nearly all of SMA patients display homozygous deletions, rearrangements or other mutations in the telomeric copy of the survival of motor neuron (*SMN*) gene on chromosome 5q13 [46]. Telomeric (*SMN1*, MIM# 600354) and centromeric (*SMN2*, MIM# 601627) genes differ by 5 single nucleotide changes, two of which are in exons 7 and 8 [66]. These differences do not alter the sequence of amino acids encoded by these two genes. However, the exon 7 C-to-T transition at codon 280 has been shown to be necessary and

sufficient for skipping of exon 7 during alternative splicing of the *SMN2* gene [49]. Consequently, about 80–90% of *SMN2* transcripts lack exon 7 and their truncated transcript encodes for a dysfunctional protein that lacks the last 16 residues at the C-terminal and is insufficient to compensate for the loss of SMN1 protein [56]. In other words, the full-length transcripts are predominantly produced by *SMN1* and not by *SMN2* genes. Therefore, mutations in the *SMN2* gene do not lead to disease. However, since *SMN2* gene does give rise to a small number of the full-length SMN transcripts, higher numbers of *SMN2* copies have been linked to the less severe SMA types [25, 38, 52]. In SMA-1, often two or sometimes three copies of the *SMN2* gene exist and the amount of full-length SMN protein is, on average, around 9% of normal levels. In SMA-2, usually three or more *SMN2* copies are found together with 14% amount of full-length SMN protein. In SMA-3, four to eight *SMN2* copies are responsible for an average of 18% of normal SMN protein amount. When SMN protein levels approach about 23% function of motor neurons is normal. Carriers with one functional copy of *SMN1* gene who are generally having about 45–55% of normal amount of SMN protein are, therefore, asymptomatic. Different copy number of the *SMN2* gene is thought to occur by random duplication events. However, in a small population of SMA patients, the *SMN1* gene is converted to the *SMN2* gene by replacing exons 7 and 8 (gene conversion). In SMA-2 and SMA-3 missense point mutations are far more common than in SMA-1 type.

Moreover, since it has been shown that even diseased siblings with the same number of *SMN2* genes can have different SMA phenotypes, many researchers thought that the SMN locus alone cannot explain the whole genetic basis for phenotypic variability of SMA [18, 71]. To

identify those putative SMA modifier genes, a transcriptome-wide differential expression analysis using total RNA from the lymphoblastoid cell lines derived from both unaffected and affected *SMN1*-deleted siblings was recently carried out by Wirth and collaborators [63]. In total, 18 transcripts showed a greater than threefold difference in expression, but only plastin 3 (*PLS3*, MIM# 300131) exhibited statistically significantly higher expression in fully asymptomatic siblings of all six SMA-discordant families analyzed [63]. All unaffected *SMN1*-deleted subjects were females and interestingly also the *PST3* gene is localized on the sex-determining X chromosome. The authors concluded that this is the first modifier gene able to fully protect from developing SMA, but despite extensive efforts, the exact cause of this gender-specific protection remains largely unknown. Subsequent experiments showed that overexpression of *PLS3* rescued the axon length and outgrowth defects associated with SMN downregulation in motor neurons of SMA mouse embryos and in zebrafish [63]. Since it has also been found that plastin 3 is highly expressed during neuronal differentiation in spinal cord, associates with SMN protein and increases levels of F-actin, which is important for axonal outgrowth and guidance, these findings support the view that the involvement of SMN in axonal outgrowth and pathfinding may be the major pathogenetic defect in SMA [63].

It may be concluded that genotype–phenotype relationship in autosomal recessive SMA is very complex. Namely, although nearly all of the affected patients are having the same homozygous absence of *SMN1* gene, the different copy numbers of *SMN2*, together with the effect(s) of *PLA-STIN3* and possibly other, yet unknown genes, gives rise to extremely variable phenotypes: from congenital SMA (type 0), through SMA types 1–4, to subjects with minimal symptoms or even totally unaffected ones [63, 82].

Spinal muscular atrophy without mutations in the *SMN1* gene has also been associated with mtDNA depletion due to mutations of nuclear thymidine kinase 2 gene (*TK2*, MIM# 188250) that controls mtDNA replication or more often with mutations of the cytochrome-c oxidase assembly gene that controls respiratory chain complexes (*SCO2*, MIM# 604377) [36, 81].

While *SMN1* gene is highly conserved through evolution, the *SMN2* gene is unique to humans: most other organisms possess a single copy of the SMN gene. Yeast, worm, fly, zebrafish and mouse models with no functional SMN protein have a uniformly embryonic lethal phenotype [72]. Out of several surrogate mouse models developed, the strategy of generating SMA mice which express the human *SMN2* gene in the presence of *Smn* gene knockout was shown to be quite promising. Mice expressing one copy of *SMN2* died one day after birth and mice with two *SMN2* copies survived for 6 days, showing reduced number of

motor neurons, while mice expressing 8–16 *SMN2* copies were completely rescued from the SMA phenotype [37]. Most recently, a line of mice that express high levels of a SMN transcript lacking exon 7 (*SMN $\Delta$ 7*) in the *SMN2*+/, *Smn*-/- background was generated [45]. These mice confirm the susceptibility of motoneurons to SMN deficiency and live 5–14 days, due to complexing of the *SMN $\Delta$ 7* protein with the full-length protein [45]. This model is now most commonly being used to test potential therapeutics. Unfortunately, an in vitro motor neuronal model of SMA is missing to date. Currently, much effort is given to develop such a model using embryonic stem cells differentiating into motoneurons [48].

### SMN protein and its functions

Since the functional SMN protein seems to be critical for survival of  $\alpha$ -motoneurons, it would be of great importance to know its exact cellular function(s). So far, it is known that the SMN protein is an unique molecule with many binding partners and possible functions (Table 2). The SMN protein has several identified motifs, such as a lysine-rich basic region encoded by exon 2, a Tudor domain important for RNA processing encoded by exon 3, a poly-proline region encoded by exons 4 and 5, and region with several tyrosine–glycine pairs encoded by exon 6 [73]. Identification of missense mutations in these regions indicates that each of these domains may be functionally important [79].

The SMN protein is found in the nucleus and cytoplasm of all cells, but most abundantly in  $\alpha$ -motoneurons [5, 7, 91]. Within the nucleus, the SMN protein forms heteromeric complexes and seems to play an important role in small ribonucleoproteins (snRNPs) biogenesis (such as hnRNP U protein and the small RNA binding protein) and pre-mRNA processing (splicing) [26, 96]. It is localized in the nuclear domains called Cajal bodies (CBs), which are also involved in the biogenesis and recycling of splicing snRNPs [23]. In SMA, low SMN protein levels result in altered CBs composition and a notable separation of SMN protein into distinct nuclear bodies called gems. In severe cases of SMA, a dramatic reduction in nuclear gems can be observed [21].

The first suggestion that SMN protein might have other important functions than snRNP assembly came from electron-microscopical analysis of mouse spinal cord that revealed SMN protein present in dendrites and axons [64]. Further analysis of the subcellular localization of SMN during retinoic-acid-induced neuronal differentiation of mouse teratocarcinoma P19 cells demonstrated SMN accumulation in growth cone and filopodia in both neuronal- and glial-like cells [24]. Moreover, SMN was present at the

**Table 2** Interactions of the SMN protein with components of SMN complex and other interacting partners (modified from [88])

Core components	Interaction direct (+) indirect (–)	Function
Gemin1 (SMN)	+	
Gemin2 (SIP1)	+	snRNP biogenesis and pre-mRNA splicing
Gemin3 (DP103)	+	snRNP biogenesis and pre-mRNA splicing
Gemin4 (GIP1)	–	snRNP biogenesis and pre-mRNA splicing
Gemin5 (p175)	+	snRNP biogenesis and pre-mRNA splicing
Gemin6	–	snRNP biogenesis and pre-mRNA splicing
Gemin7	+	snRNP biogenesis and pre-mRNA splicing
Gemin8	–	snRNP biogenesis and pre-mRNA splicing
Unrip	–	snRNP biogenesis and pre-mRNA splicing
Interacting partners of SMN complex		
Sm proteins (B or B', D1, D2, D3, E, F and G) and LSm1–7	+	snRNP biogenesis and pre-mRNA splicing
Fibrillarin and GAR1	+	Assembly of snoRNPs
Coilin	+	Recruitment of SMN to Cajal bodies
ZPR1 (zink-finger protein 1)	+	Caspase activation, apoptosis, assembly and maturation of snRNP
Profilin	+	Actin dynamics control
OSF (osteoclast-stimulating factor)	+	Osteoclast activity regulation
Nucleolin and B23	–	Cell growth, proliferation and differentiation, PCD, cell surface signal transduction
RNA helicase A	+	Transcription
RNA polymerase II	–	Transcription
hnRNP Q and R	+	RNA transport along axons
Snurportin and importin beta	+ and –	Transport of snRNPs to nucleus
Galectin 1 and 3	–	snRNP biogenesis and pre-mRNA splicing
P53	+	Apoptosis
FGF-2 (fibroblast growth factor 2)	+	Neurotrophic factor for motor neurons
EWS (Ewing sarcoma)	+	Transcription
Bcl-2	+	Antiapoptosis
FUSE-binding protein	+	Transcription and mRNA stability regulation
PPP4 (protein phosphatase 4)	–	Dephosphorylates serine and threonine residues
TGSI (trimethyl-guanosine synthase 1)	+	snRNA cap hypermethylation
Rpp20	+	Maturation of tRNA and rRNA

leading edge of neurite outgrowths, suggesting its specific role in axono- and dendrogenesis. Then, SMN protein was found to be present at axon branching points and growth cones in cultured motoneurons [24, 39]. In a more detailed analysis that Rossoll and collaborators performed in n PC12 cells, it was found that Snn colocalizes with hnRNP-R in cell bodies and neurite-like processes [68]. In contrast, hnRNP-R lacking the Snn interaction domain localized primarily to the nucleus indicating that hnRNP-R is targeted to cell processes by Snn where it mediates processes other than pre-mRNA splicing. Furthermore, it was also demonstrated that hnRNP-R is present in significantly reduced levels in neurites and growth cones of motor neurons from *Snn*<sup>-/-</sup>, *SMN2* mice compared to *Snn*<sup>+/+</sup>; *SMN2*

motor neurons [68]. The interaction between Snn and hnRNP-R was functionally relevant since upregulation of either Snn or hnRNP-R resulted in enhanced outgrowth of neurites, which could be suppressed by hnRNP-R lacking the Snn interaction domain [68]. By expressing truncated proteins, the same authors also showed that this positive effect on neurite outgrowth depends on functional Snn as well as on the RNA-binding domain of hnRNP-R. Accordingly, Snn mutant motor neurons showed reduced growth cone size.

Further experiments confirmed actin as a most probable downstream mediator of the Snn–hnRNP-R complex [87]. Actin is a major component of outgrowing axons responsible for growth cone motility and transport of its mRNA into

distal axons and growth cones can be stimulated by neurotrophin treatment [10, 87]. In addition to interactions with hnRNP-R and Q [69], SMN has also been shown to interact with profilin II, an actin-binding protein [31]. Profilin II is highly expressed in brain and spinal cord, where it localizes predominantly to anterior horn neurons [31].

The asymmetric SMN staining demonstrated in the germinative neuroepithelium further supported a role for SMN in neuronal migration and differentiation [23]. Finally, new approaches to study SMN protein function, particularly the use of antisense morpholino and synthetic RNA injections to knockdown SMN levels in the zebrafish model of SMA, have confirmed the hypothesis that, in addition to its role in snRNP biogenesis, SMN protein has an additional and independent function which is critical for motor neuron axon outgrowth [10, 11, 30, 54, 74]. Moreover, the severity of motor axon defects was shown to correlate with decreased survival of the zebrafish, suggesting that SMN protein is not only needed for motor neuron outgrowth, but perhaps also in its maintenance of, and interactions with, muscle [11], which is further supported by the finding of increased vulnerability of the neuromuscular junctions in mouse SMA models [60]. One of the most recent exciting new findings has been the identification of an SMN transcript which encodes the axonal-SMN protein which is found to be selectively expressed in developing spinal cord motoneurons and mainly localized in axons [74]. The presence of diverse types of SMN complexes that have functions in neurons, other than its well characterized role to assemble snRNPs was recently further confirmed using high-resolution fluorescence of an SMN-Gemin complex in neuronal processes and growth cones of embryonic stem cell-derived motor neurons [95]. An attractive hypothesis driven from these findings is that the SMN complexes play a role in the assembly of localized mRNP complexes needed for axonal growth and synaptogenesis.

### Neuropathological changes in SMA and proposed pathogenetic model

Based on the published neuropathological data [15, 75, 76], it can be stated that four different morphological types of motoneurons exist in the spinal cord of SMA subjects, each probably having a different cell fate:

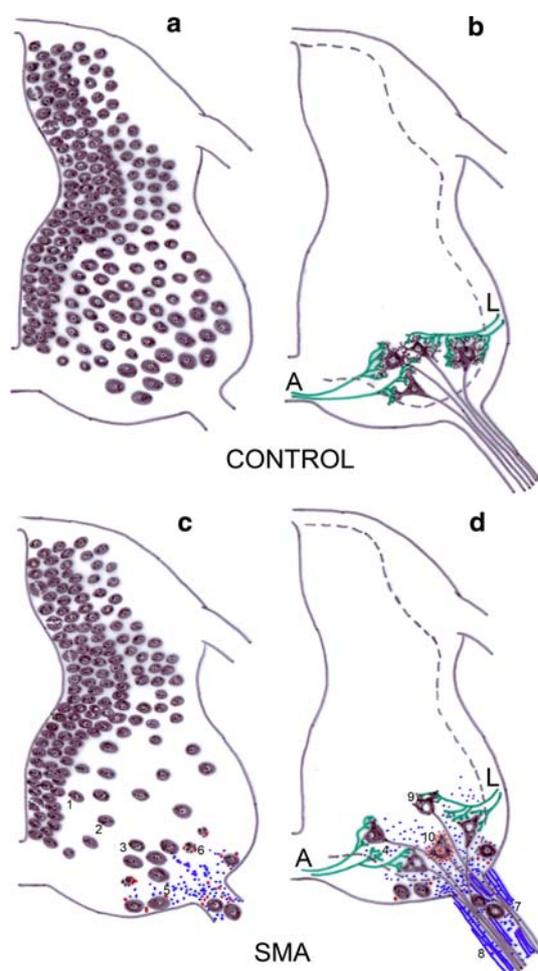
- (1) Morphologically normal motoneurons in their normal position: on average 10–20% of motoneurons seen during postmortem analysis of SMA-1 subjects. It is supposed that the number of these neurons correlates with the amount of SMN protein produced.
- (2) Morphologically abnormal motoneurons in their normal position, i.e., chromatolytic neurons within

anterior spinal grey matter. These neurons die slowly by necrotic cell death and comprise about 60–85% of the remaining motoneurons seen at autopsy.

- (3) Apoptotic motoneurons within the ventral horns. Although the number of these neurons is relatively low (on average 2–3% of the remaining neurons seen in SMA-1 subjects), since they die very rapidly and disappear even faster due to heterophagic elimination by activated microglial cells, their cumulative number is probably largely underestimated.
- (4) Heterotopic (migratory, displaced) motoneurons (HMN). Unlike controls, SMA patients with confirmed homozygous deletion of exon 7 in the SMN1 gene display a significant number of HMN at all levels of the spinal cord [76]. These heterotopic motoneurons are situated all along the ventral outflow of the white matter and within the ventral roots, have no axon or dendrites, appear hyperchromatic in Nissl-stained sections and their number positively correlates with clinical severity [76]. It was shown that HMN have no synapses, rarely activate microglial cells, and eventually die slowly by necrosis [76].

Taken all the findings together, an integrated model of SMA pathogenesis can be proposed. The presumptive series of pathological events during the development of SMA according to this model is given in Figs. 2 and 3 and follow the sequence below:

- (1) Mutations or deletions of the SMN1 gene [8, 46].
- (2) Increased SMN mRNA decay [8] and reduction in functional, full-length SMN protein production [47] lead to decreased intracellular concentration of oligomerization-competent SMN proteins proportional to disease severity [50, 92].
- (3) Impaired spinal motoneuron axono- and dendrogenesis [76].
- (4) Failure of motoneurons to form synapses onto the corticospinal fibers of the upper motoneurons [15].
- (5) Due to the disturbed differentiation (i.e., impaired dendrite and axon outgrowth) and lack of synapse formation many motoneurons continue to migrate along the ventral outflow in the anterior direction towards the ventral roots of spinal cord (heterotopic motoneurons) [76].
- (6) In some of these abnormally migrating undifferentiated neurons, apoptotic mode of programmed cell death program is activated and they rapidly die (because they are immediately being eliminated by nearby microglial cells, this leaves no biochemical or morphological trace). It seems that apoptosis is inappropriately prolonged [3, 38, 42, 65, 70, 75, 84, 92], because during the midgestational period this is the normal process by which 40–70% of the embryonic motor neurons in the spinal cord undergo naturally occurring programmed



**Fig. 2** The proposed pathogenic mechanism of SMA. A sequence of events during normal motoneuron development is given in **a** and **b** for comparison with SMA (**c**, **d**). Schemes **a** and **c** represent presumed events during early prenatal stage, while **b** and **d** represent late prenatal stage. The *numbers* on the scheme correspond to numbers of the proposed pathogenic steps in the text (1–10). *A* anterior corticospinal tract fibers (green), *L* lateral corticospinal tract fibers (green), *blue dots* astrocytes, *blue lines* glial bundles, *red dots* microglial cells, *SMA* spinal muscular atrophy

cell death immediately following the arrival of their axons in muscle cells [16, 62, 90].

- (7) The larger part of motoneurons continues its abnormal migration, some being stopped by the anterior rim of the spinal cord, others migrating even outside the anterior white matter and entering the ventral roots [76].
- (8) As a secondary phenomenon, attracted glial cells follow abnormally migrating HMN thus forming glial bundles within the ventral roots [14, 29].
- (9) Impaired axonal transport of actin causes remaining motoneurons to become chromatolytic. It is the author's speculation that glial bundles of ventral roots may further compromise axoplasmic flow of these neurons causing a so-called axonal reaction of the central type.

- (10) In the end-stage of the disease, all apoptotic, heterotopic and chromatolytic neurons [27] eventually die. Apoptotic cells do so more rapidly and predominantly during earlier stages of SMA, while heterotopic and chromatolytic cells die more slowly by necrosis during the later stages of SMA. It is hoped that future studies will use unbiased stereological methods, to precisely quantify the magnitude of motoneuron cell loss due to each type of cell death as well as with respect to each particular SMA genotype.

Within the framework of this model, it is supposed that individually variable levels of SMN protein together with influences of other phenotype modifier genes and their products cause different types of SMA through differential degree of motoneuron functional loss.

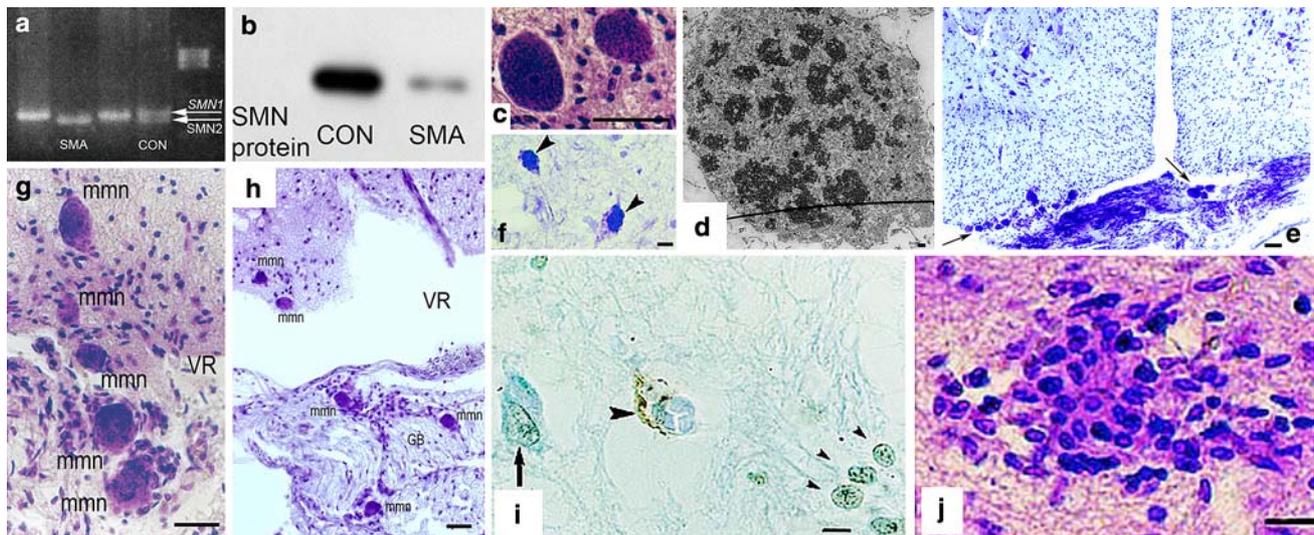
### SMA treatment and prevention strategies

Treatment and prevention strategies for SMA can be divided in at least five broad groups: (1) use of compounds that drive *SMN2* promoter activity; (2) use of drugs that modulate *SMN2* splicing; (3) use drugs that stabilize *SMN2* mRNA or SMN protein; (4) gene therapy; and (5) stem cell therapy [79].

#### Compounds that drive *SMN2* promoter activity and activate *SMN2* gene expression

As noted previously, there is a strong inverse correlation between SMN protein production from the *SMN2* gene and disease severity, both in SMA patients [47] and SMA mouse models [28, 58]. For the purpose of quantification of SMN protein levels, a cell immunoassay [43] and a two site enzyme-linked immunosorbent assay (ELISA) have been developed to measure accurately drug-induced SMN elevation in model systems, such as skin fibroblast cultures from type I SMA patients [53]. It is of importance that the SMN genotypes producing less SMN protein have also been shown to increase susceptibility to and severity of sporadic amyotrophic lateral sclerosis [83].

The *SMN2* gene is regulated by a promoter that is nearly identical in sequence and activity to the *SMN1* promoter [22, 57]. The level of histone acetylation is determined by the balance of activities of histone acetyltransferases, which acetylate histones, and histone deacetylase (HDAC), which deacetylate histones. Control of the acetylation state of histones is an important epigenetic mechanism regulating gene expression. When the  $\text{NH}_2$ -terminus of core histones is acetylated, corresponding chromatin region is more transcriptionally active due to increased accessibility of DNA. It has been demonstrated that HDAC 1 and 2 proteins may



**Fig. 3** Illustrative examples for Fig. 2. Letters *a–j* correspond to pathogenetic events 1–10, respectively. **a** PCR analysis of SMN exon 7, **b** Western blot analysis of SMN protein, **c** impaired axono- and dendrogenesis of heterotopic spinal motoneurons in SMA **d** spinal motoneuron of SMA patient without synapses (electron microscopy) **e** heterotopic (migratory) motoneurons (*arrows*) in SMA (cresyl-violet stain) **f** apoptotic motoneurons (*arrowheads*) in SMA (cresyl-violet stain) **g** heterotopic (migratory) motoneurons (*mmn*) in the ventral roots (*VR*) of SMA patient (cresyl-violet stain) **h** glial bundles of ventral roots (*GB*) (cresyl-violet stain) **i** anterior horn of a SMA subject: an apoptotic motoneuron engulfed by an ISEL-positive microglial cell

(*large arrowhead*); *arrow* shows weakly ISEL-positive neuron probably representing an early stage of the apoptotic process; *small arrowheads* show ISEL-positive nuclei of microglial cells that appear to be involved in neuronophagia of chromatolytic neurons (in-situ end labeling) **j** neuronophagia of a chromatolytic neuron (cresyl-violet stain). Scale bars: **c**, **e**, **g**, **h** = 20  $\mu$ m, **(d)** = 1  $\mu$ m, **(f)** = 5  $\mu$ m, **(i, j)** = 10  $\mu$ m. Photomicrographs **(c)**, **(d)**, **(e)**, **(g)** and **(h)** are reproduced from Simic et al. [76]. Photomicrographs **f**, **i** and **j** are reproduced from Simic et al. [75], with the permission of Wolters Kluwer Health (Lippincott Williams and Wilkins)

modulate the histone acetylation state at the *SMN* promoter and determine *SMN2* gene expression [41]. One of the earliest discovered HDAC inhibitors was sodium butyrate, which was shown to increase full-length *SMN2* transcript levels and *SMN* protein levels in lymphoblastoid cell lines derived from SMA-1 patients [13]. Subsequent studies showed that the same effect can be achieved by several other HDAC inhibitors, such as phenylbutyrate [2], valproate [8, 80], trichostatin A [4] and suberoyl anilide hydroxamic acid [9, 40, 41, 80]. Other drugs that have been proposed to work by activating *SMN2* gene expression are hydroxyurea [33] and indoprofen [51]. Most of the drugs mentioned are currently being studied in clinical trials worldwide.

Recently, a screening of 550,000 different small molecules was performed to identify those that activate *SMN2* promoter present in a motoneuron-like NSC34 cells [40]. None of the 17 different compounds were acting as HDAC inhibitors. Two compounds, one indole and one quinazoline were confirmed to increase full-length *SMN* transcript and protein in SMA-derived cells.

#### Drugs that modulate *SMN2* splicing

The mechanisms that direct splicing of the *SMN* gene transcripts showed that full-length *SMN* transcript is encoded by exons 1, 2a, 2b and 3–8, while exons 1–7 are being

translated into *SMN* protein. It seems that there are many exonic and intronic splice enhancer and silencer motifs that play role in *SMN* transcript splicing. After a screen in patient fibroblasts, a chemotherapeutic drug aclarubicin was shown to stimulate exon 7 inclusion in *SMN2* gene transcripts [2] therefore yielding a higher proportion of full-length *SMN* protein [25]. Unfortunately, the toxicity profile of this drug prohibits its long-term use.

Another strategy to enhance exon 7 inclusion is the use of synthetic antisense oligonucleotides that bind to *SMN2*-derived transcripts and promote exon 7 inclusion during splicing. Although it has been shown that this strategy may work well in vitro [12, 77], achieving efficient delivery of these oligonucleotides to motor neurons remains to be an unresolved problem.

#### Drugs that stabilize *SMN2* mRNA or *SMN* protein

As it has been shown that in a subset of SMA patients intragenic *SMN1* mutations render *SMN1* transcripts susceptible to nonsense-mediated *SMN* mRNA decay (resulting in mRNA degradation), future treatment strategies may also be directed towards increasing *SMN* mRNA stability [8]. One group of the candidate compounds may be aminoglycosides, which stabilize *SMN2* mRNA by triggering stop codon read through [89].

## Gene therapy for SMA

Since the SMN2 gene is present in the same region of chromosome 5 and is similar in sequence to SMN1 except for a T at position +6 of exon 7 that is likely the predominant functional difference between the two genes, an approach has been developed to use single-stranded oligonucleotides (ODN) to repair genes within the context of the native chromosome. Indeed, using SMN2-sequence-specific ODN to direct the exchange of a T to a C in an SMA skin fibroblast cell line from a type 1 patient was shown to increase production of full-length SMN mRNA, measured by qRT-PCR, and SMN protein, measured by Western blotting [19]. However, the technical difficulty of gene delivery, together with problems related to random insertion of the therapeutic gene, still represent the major obstacles for its use in treatment of SMA patients.

## Stem cell therapy for SMA

Embryonic stem (ES) cells are omnipotent cells that can be directed to differentiate into motor neurons. Transplantation of such differentiated ES into the spinal cord of rats with induced motoneuron injury showed promising results because these cells survived and produced axons that were able to grow into the ventral root [34]. With the growing understanding of ES cell biology and SMA pathogenesis, ES cells-based therapy is moving closer to clinical application [17, 48, 61, 67].

## Two hypotheses on SMA pathogenesis

Based on the data available in the literature two main hypotheses about the pathogenesis of SMA prevail. The first one is that severe SMN deficiency causes widespread pre-mRNA splicing defects in numerous mouse transcripts of diverse genes, preferentially those containing a large number of introns [96]. Since only a large degree of SMN decrease (>80%) is required to cause a significant change in the levels of snRNAs or cause cell death in cultured cells, this suggests that cells normally contain a large excess capacity of SMN complex to maintain their normal inventory of snRNAs. According to this hypothesis, SMA is a general splicing disease not restricted to motor neurons. However, the degree of snRNAs reduction is not uniform across different tissues and cell types. Within this framework it is speculated that the affected motor neurons, being large and high energy requiring cells, would have a lower tolerance for depleted SMN levels and are uniquely sensitive.

The alternative hypothesis on pathogenesis of SMA is that the disease is a consequence of a motor neuron specific function of the SMN protein. This hypothesis stems from

observations demonstrating the accumulation of the SMN protein in the axons and growth cones of motor neuron like cells in vitro and anterior horn cells in vivo [6, 7, 10, 11, 24, 30, 54, 64, 68, 74, 94], and its interaction with the heterogeneous nuclear riboprotein Q and R (hnRNP-R) and  $\beta$ -actin mRNA, targeting them into the growth cones [68].

According to the pathogenetic model proposed in this review, the selective motoneuron axonopathy appears to be far more important than ubiquitous nuclear splicing deficit.

## Conclusion

In conclusion, recent molecular and neuropathological findings suggest that impaired outgrowth of motoneurons' axon and dendrites with subsequent abnormal migration may represent the early key events of SMA pathogenesis and are responsible for all of the subsequent pathological changes observed in these cases. It is supposed that individually variable levels of SMN protein, together with influences of other phenotype modifier genes and their products, cause the clinical SMA spectrum through differential degree of motoneuron functional loss. The dynamics of the described sequence of interrelated events still needs to be fully elucidated. Hopefully the present review will help building more efficient treatment and prevention strategies for SMA.

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